

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Van Ginkel, et al.                      Art Unit : Unknown  
Serial No. : N/A                                      Examiner : Unknown  
Filed : May 9, 2006  
Title : COMPOSITIONS FOR REDUCING BACTERIAL CARRIAGE AND CNS  
INVASION AND METHODS OF USING SAME

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

DECLARATION BY THE INVENTOR

As a below-named inventor(s), I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed  
and for which a patent is sought on the invention entitled:

COMPOSITIONS FOR REDUCING BACTERIAL CARRIAGE AND CNS INVASION AND  
METHODS OF USING SAME

the specification of which:

- ☐ is attached hereto.  
☐ was filed on \_\_\_\_\_, and identified as Attorney Docket No. \_\_\_\_\_.  
☐ was filed on \_\_\_\_\_, as Application Serial No. N/A, and was amended on \_\_\_\_\_.  
☒ was described and claimed in PCT International Application No.

PCT/US2004/037394 filed on November 10, 2004.

I hereby state that I have reviewed and understand the contents of the above-identified  
specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of  
this application in accordance with 37 C.F.R. §1.56.

I hereby claim the benefit under Title 35, United States Code, §119(c)(1) of any United States provisional application(s) listed below:

<b>U.S. Serial No.</b>	<b>Filing Date</b>	<b>Status</b>
60/518,799	November 10, 2003	Expired

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information I know to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

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N/A	N/A	N/A

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

<b>Country</b>	<b>Application No.</b>	<b>Filing Date</b>	<b>Priority Claimed</b>
N/A	N/A	N/A	N/A

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of inventor: FREDERIK W. VAN GINKEL

Inventor's signature: *Frederik W. Van Ginkel*

Date: 07/13/2006

Residence: Auburn Alabama  
Citizen of: US  
Post Office Address: 701 Sanders St.  
Auburn, AL. 36830

Full name of inventor: DAVID E. BRILES

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence: Birmingham, Alabama  
Citizen of: U.S.  
Post Office Address: 760 Linwood Rd.  
Birmingham, AL. 35222

Full name of inventor: JAMES M. WATT

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence: Birmingham, Alabama  
Citizen of: U.S.  
Post Office Address: 1<sup>st</sup> Avenue N. Apt. 213  
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P.4

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Attorney's Docket No.: 20674-003US1 / U2004-0001

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